

Table 1: Summary of budget and contract outputs (past, present, future).

Output Status

N – under Negotiation; P – in Progress; C – Complete

Output Result

CR – Contract Report; CL – Contract output sent as a letter; Web – website; CD – CD Rom

Contracts/Joint Initiatives	Total \$	LR \$	WCC \$	LR Contact	WCC Contact	Output Status	Output Result
2000/01							
Waitakere Quarry Rehabilitation	\$29,000		\$29,000	R Simcock	C. Jennings (Parks)	C/ongoing	CR; LC 0001/111
Restoration Trials	\$5,000		\$5,000	C Meurk	K.?? (Parks)	C	CR; LC0001/005, LC0001/112
Roadside Berm Trial	\$1,700		\$1,7004	K Whaley	C. Perkins (Parks)	C	CR;
Oratia riparian margins	\$15,111		\$15,111	P Luckman	T. Mignuel (EcoWater)	C	C; LC0001/021
Poua Canal Dredgings	\$7,714		\$7,714	T Crosby			
CD-ROM Information System	\$38,000	\$20,000	\$18,000	J Herald	T. Mignuel	C	CR
							CD
2001/02							
Waitakere Quarry Rehabilitation	\$7,543		\$7,543	R Simcock		C/ongoing	CR; LC0102/134
Roadside Berm Trial	\$2,621		\$2,621	K Whaley	C. Perkins (Parks)	C	CR;
ESA for NORSGA	\$39,403		\$39,403	J Herald	T. Mignuel (EcoWater)	C	CR; LC0203/121
Freshwater Water Website Assistance	\$5,630		\$5,630	S Moore		C	Assistance
TBL Assessment Tool	\$7,500		\$7,500	L Holdworth		C	
Freshwater Fish Habitat Values	\$14,000		\$14,000	S Moore		C	CR; LC0102/055

2002/03	Waitakere Quarry Rehabilitation	\$12,100					R Simcock + M Smale		C	CR; LC0203/172
	ESA for NORSGA – variation to first contract	\$7,220					J Herald		C	CR LC0304/004
	Weed information fact sheets	\$5,200					M Stanley		C	Fact sheets
	ARC Stormwater Treatment Wall	\$106,000	\$106,000				S Pandey		C with help WCC	CR; LC0304/047
2003/04										
	ABCEM Project	\$5,000					J Watt		C	
	ESA for NORSGA – extension to cover Red Hills	\$22,500					F Morgan		C	CR; LC0304/090
	Pond Monitoring Study	\$7,500	\$30,000				S Trowsdale		C	CR / P & LC0405/061
	Waitakere Quarry Rehabilitation	\$10,000					R Simcock		C possible extension	CR;
	Ecological footprint	\$19,000					R Gordon		C	CR in 2005
	Estuary Water Website Assistance	\$5,535					S Moore	Sue Langton	C	Assistance
	Teacher Assistance Days	\$500					S Moore	Sue Langton	C	Assistance
2004/05										
	Greening the Screen Project	\$40,000					A Smith		C	
	Twin Streams ESA Feasibility Study	\$5,700					F Morgan		C	CR LC0405/063
	Pond Monitoring Study	\$9,000					S Trowsdale		C	CR LC0405/061
	Waitakere Quarry Rehabilitation	\$2,000					R Simcock		C	
	Ecological footprint	\$19,000					R Gordon		C	CR
	Strategy Review	\$1,784					R Gordon		C	
	Soils Data	\$1,290					J Willoughby		C	
	Neighbourhood 1	\$7,560					S Pandey		C	
	Olympic Park Rehabilitation, Avondale Stream et al assessments	\$14,735					S Moore	Graeme Lenard	C	CR LC0405/099
	Twin Streams MUSIC Model	\$55,000					S Trowsdale		P	
	Teacher Assistance Days	\$500					S Moore	Sue Langton	C	Assistance
	Three Catchments Presentation	\$16,190					S Trowsdale	Garry Peters	C	Pres.
	WCC Pond Presentation/Paper	\$12,110					S Trowsdale	Garry Peters	C	Paper

2005/06													
Green Roof Stage 1 - Civic Building	\$14,300	\$14,300				S Pandey/R Simcock	Renee Lambert	C	CR LC0405/148				
Green Roof Field Trial	\$22,500	\$22,500				R Simcock	Suresh	Not accepted					
Monitoring of Green roof	\$25,000	\$25,000				S Pandey	Suresh	Need to clarify.					
Filter Media Study	\$14,090	\$14,090				M Taylor/Sam T	Garry Peters	C	CR LC0506/019				
Review PTS monitoring data						Sam T	Garry Peters	C	CR LC0506/008				
Permeable Paving TRI	\$5,000	\$5,000				S Pandey/Sam T	Garry Peters	Site ID needed					
Permeable Paving Pixie Pond	\$21,000	\$21,000				S Pandey/Sam T	Garry Peters	Site ID needed					
Bio-retention system	\$11,000	\$11,000				S Pandey/Sam T	Garry Peters	overcome eng. Itab.					
PTS Indicators and Monitoring Review	\$20,000?	\$20,000?				S Pandey	Garry Peters	N					
New Lynne Consultancy						CE/RV	Tony M/Garry P						
Future Projects													
Socio-Economic Modelling													
GIS modelling assistance						A Greenaway							
Upper Harbour Zn and Cu Loading						F Morgan							
Teacher Assistance Days						S Trowsdale							
Roofing						S Moore							
						CE/Sam?							

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Table 2: Summary of Landcare Research FRST Programmes associated with Waitakere City Council.

Landcare Research FRST Programmes	Amount of FRST funding	Contact
2001/02		
Integrated Catchment Management (FRST)	\$300,000	J Herald
NSOF	\$150,000	J Herald
2002/03		
Impacts in Urban Catchments (FRST)	\$300,000	J Herald
Technologies for Mitigating Stormwater (FRST)	\$50,000	S Pandey
Urban Restoration (FRST)	\$50,000	C Meurk
2003/04		
Low Impact Urban Design (FRST)	\$500,000	C Eason
NSOF projects	\$200,000	C Eason
Roll-over FRST (July-Sept 03)	\$100,000	C Eason
2004/05		
Low Impact Urban Design (FRST)	\$500,000	C Eason
NSOF projects	\$120,000	C Eason
Building Capacity (FRST)	\$100,000	A Greenaway
2005/06		
Low Impact Urban Design (FRST)	\$500,000	C Eason
NSOF projects	\$120,000	C Eason
Building Capacity (FRST)	\$100,000	A Greenaway

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Formal resolutions of the Auckland territorial authorities and the Auckland Regional Council

15 December 2006

The following are individual Council resolutions relating to the paper "*Strengthening Auckland's Regional Governance – Proposal – for consideration by the Councils of the Auckland Region, 4 December 2006*".

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Auckland City Council

The following resolutions are from the minutes of an Ordinary Meeting of the Auckland City Council, 14 December 2006.

- A. That the report of the Group Manager, Economic Development and the Strategic Adviser, Strategic Development dated 6 December 2006 be received.
- B. That the paper entitled "Strengthening Auckland's Regional Governance Proposals" be received.
- C. That it be noted that the proposal outlined in the 'Strengthening Regional Governance: Proposal' paper constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved. The package of reform consists of the following key elements: creation of a strengthened regional entity, with new elected representation arrangements; the establishment of a regional sustainable development forum that would develop the "One Plan"; the potential provision of new funding instruments to the regional entity; the expansion of regional economic development to include tourism and major events; legislative arrangements for Watercare to permit it to operate like any other CCO to enable dividend payments within existing ownership arrangements that would be used for storm water; for the regional land transport strategy to specify both major projects and areas for focus and activity, and the transfer of regional facilities and associated funding responsibility for these and other amenities to the regional entity.
- D. That Auckland City Council endorse the proposal to strengthen Auckland's regional governance as a submission from the Auckland region to the government subject to
- Elected representation arrangements for the regional entity solely comprising elected members being elected from single member constituencies and a chair elected by the councillors
 - New funding sources being made available to the regional entity including a regional fuel tax, for development levies and an International departure tax.
 - Voting powers in the Regional Sustainable Development Forum being proportional to population and with majority representation for city and district councillors, and its strategic One Plan decisions be binding on the greater Auckland Council and the city and district councils.
 - Transfer of functions to the regional entity including tourism, major events, major urban development projects and regional facilities.
 - The current powers and roles of community boards being retained.
 - Work continuing on shared service arrangements and on investigating ways for the councils in the Auckland Region to fully optimise their respective rating and revenue bases including the possibilities of shared regulatory framework, and shared rating collection, while retaining autonomy for local council rate setting and rating allocation.
-

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

- E. That if broad agreement is achieved amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation and hearings on the proposal be undertaken in February/March 2007. That public consultation includes specific focus on electing members to the Greater Auckland Council including:
- Members being elected from single member constituencies
 - The Chair being elected at large or by the Councillors and
 - The Chair of the Greater Auckland Region automatically be Chair of the Regional Development Forum
- F. That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal.

Auckland Regional Council

The following are from the minutes of an Extra-Ordinary Meeting of the Auckland Regional Council, 11 December 2006.

- a) That the report be received.
 - b) That the paper entitled "Strengthening Auckland's Regional Governance Proposals" be received.
 - c) That it be noted that the proposal outlined in pages 29 to 34 of the attachment constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved.
 - d) That Auckland Regional Council endorses the proposal to strengthen Auckland's regional governance as outlined in pages 29 to 34 of the attachment as a submission from the Auckland region to the government subject to the following:
 - That the strengthened regional entity be the existing Auckland Regional Council with existing representation arrangements.
 - That the Auckland Regional Council supports the use of Watercare surpluses for water related purposes but is opposed to a dividend approach being followed.
 - Return of Watercare Services Ltd to Auckland Regional Council ownership and governance to achieve clear, streamlined and democratic decision-making, stronger alignment and integration with other regional functions (urban form, transport, economic development, parks) and greater stewardship of public assets in the long-term interests of the region;
 - Any new or additional responsibility for economic development activities must be accompanied by new funding sources as an alternative to rates;
 - Any new funding responsibility for Regional facilities or amenities must be accompanied by a process for reaching agreement over definition, scope and criteria. Any transfer of related rating responsibility from TA's to the Regional Council must be transparent and fiscally neutral. The Regional Council will need in addition access to new funding sources as an alternative to rates.
 - Changes to the Transport Services Licensing Act and Land Transport NZ passenger transport procurement rules;
 - Civil Defence Emergency Management as a responsibility of the Auckland Regional Council;
 - That governance arrangements for regional parks remain as a committee of the Regional Council.
 - Public consultation as set out in the Proposal.
 - A clearer definition of the roles of Regional Councils, City and District Councils and Community Boards.
-

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

- That the Auckland Regional Council expresses its concern that the strengthening of the regional government process has not addressed the cost of local government including measures that may address these issues including:
 - Shared services
 - Common building consents/ charges
 - Common rates billing

- e) That if broad agreement is achieved amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation on the proposals be undertaken in February/March 2007.

- f) That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal.

- g) Delegate to the Chairman and Deputy Chair of Council authority to approve a submission to Government expressing the Council's position including
 - In particular expanding on the case for the return of Watercare to the Auckland Regional Council.
 - Also a brief explanation of the Auckland Regional Council Metropolitan Urban Limits policies.
 - Reasons for the Auckland Regional Councils opposition to a regional Mayor/Chair elected at large.
 - Inclusion of the Auckland Regional Council preferred structure wiring diagram as set out in the Auckland Regional Councils preferred option paper.

Franklin District Council

The following are from the minutes of an Extra-Ordinary Meeting of the Franklin District Council, 13 December 2006.

- That the paper entitled 'Strengthening Auckland's Regional Governance Proposals' (see Attachment 1) be received.
- That Franklin District Council notes that the proposal outlined in pages 1.31-1.36 of the paper entitled 'Strengthening Auckland's Regional Governance' constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland Region is to be achieved.
- That Franklin District Council endorses Strengthening Auckland's Regional Governance Proposal as a submission from the Auckland Region to central Government, subject to the following considerations:

Representation

Greater Auckland Council

- Two elected representatives elected from each territorial local authority area.
- The Chairperson to be appointed by resolution from each territorial local authority (by collegiate vote, out of the 14 Greater Auckland Council elected members).

Regional Sustainable Development Forum

- One representative from each territorial local authority.
- Each representative has one vote.

Interaction between Great Auckland Council and Regional Strategic Development Forum and Territorial Local Authorities

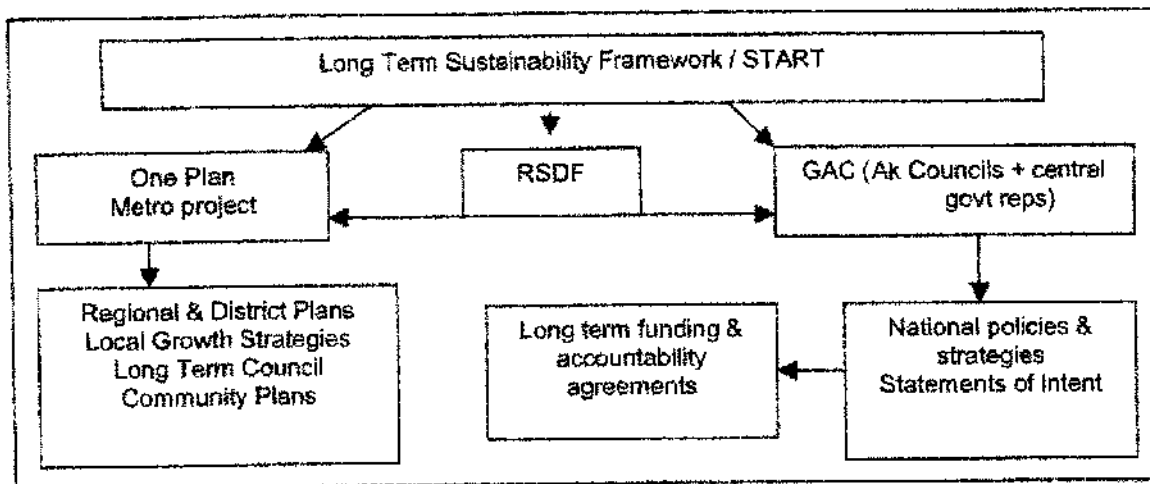
- Recommendations from the Regional Strategic Development Forum need decisions from the Great Auckland Council and each territorial local authority unless the recommendations do not affect (and are foreseen in) One Plan.
- Where One Plan has developed priorities and strategies, decisions to implement One Plan may be made by the Greater Auckland Council.
- Interface and communication between each territorial local authority and the Greater Auckland Council representatives about local issues in the regional context will occur through the Regional Strategic Development Forum.

One Plan

- Development of One Plan will be through multilateral or bilateral agreements coordinated by the Regional Strategic Development Forum.
- Implementation of One Plan will be the responsibility of the Greater Auckland Council through delivery of current Auckland Regional Council services and additional services such as those involving:
 - funding and governing Auckland Plus with expanded functions;
 - funding regional facilities (appropriate transitioning);
 - advocacy to central government on regional issues;
 - potential establishment of an agency for urban redevelopment in the region.

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

- It is expected that the process for development of policies and strategies for the Auckland region take the following steps (as an example):



Linkage to Central Government/Additional Funding

- The Greater Auckland Council should have influence over funding decisions (source, amount, timing etc) and advisory services provided by central government in order to meet the four wellbeings under the Local Government Act 2002. This would include social funding, as in health, education, policing – prioritising the allocation of Government funding.
- Government departments to be involved in One Plan development through participation in the Regional Strategic Development Forum.
- Additional funding through a regional development contribution should be collected by territorial local authorities.
- Other new funding sources would need to ensure Auckland ratepayers/ taxpayers are not overly burdened compared to visitors and national taxpayers.
- Case studies could be used to identify a process by which decisions regarding territorial local authority growth/non-growth could show the linkage between central, regional and local planning requirements (eg Burt Road School in a rural zone).

Watercare Services Limited

- Regional ownership of Watercare Services Limited in some form is required, without the need for all territorial local authorities to be owners of Watercare (currently Franklin is not an owner but may want to be part of regional ownership arrangement in future).
- Infrastructure Auckland/Auckland Regional Holdings grants for Franklin District Council should continue

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

- Integrated catchment management plans cannot be completed without continuing with funding to territorial local authorities stormwater planning.
- The key issue for Watercare Services Limited should be effective and efficient environmental management not ownership.

Rural/Urban Metropolitan Issues

- Franklin District Council does not consider that sufficient attention has been given to the diverging interests between rural and urban interests within the region. A process is required to ensure that these diversities are given voice and decision making power.
- In developing One Plan, Franklin expects that the tendency towards marginalisation of Councils at the outer edges of the Auckland region will be addressed by two local representatives on the GAC being elected from the local community.
- The relative size of Councils in Auckland presents a potential credibility issue in terms of "size determines control" but should be addressed through "One Council, One Vote" on the Regional Strategic Development Forum which is one of the conditions of Franklin District Council's endorsement of this proposal. However, this doesn't automatically address the potential problem of a lack of long term sustainability planning for the protection of the rural hinterland of Auckland due to the minority votes that rural councils will have on the Regional Strategic Development Forum.
- A process is required for ensuring that the investment that territorial local authorities have put into developing and communicating growth strategies for their districts is recognised, and that these strategies are endorsed by the region within the transitional arrangements toward the One Plan, and taken fully into account in the development of the One Plan.

Funding Regional Facilities

- A full review of regional assets needs to be undertaken to identify and agree on what constitutes a regional asset. The results of this review are to be used in the development of One Plan.
- The Auckland War Memorial Museum/MOTAT model in its current form is not supported as it is not equitable for part of the residents of the Franklin District (those in the Environment Waikato area).
- Any transfer of related rating responsibility from territorial local authorities to the Greater Auckland Council must be transparent and should be transitioned over time.
- New funding sources in addition to regional rates will be required in order to provide facilities and amenities appropriate for the long term needs of the region (see comments above under Linkage to central government).

Consultation

- Franklin District Council requires full public consultation if this proposal is to be endorsed.

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

- All aspects of this proposal should be available for consultation with the public.
- Consultation on the regional governance proposal should occur alongside the START consultation process and the communication messages should present a holistic, integrated package.
- That if broad agreement is achieved amongst the councils in the Auckland Region on the proposal to strengthen Auckland's regional governance, then joint (or co-ordinated) public consultation on the proposal be undertaken in February/March 2007.
- That the Political Reference Group be empowered to conduct ongoing discussions with Government Ministers as to the refinement and implementation of the proposal on Strengthening Auckland's Regional Governance.

Manukau City Council

The following are from the minutes of a Meeting of the Manukau City Council, 14 December 2006.

1. That the paper entitled "Strengthening Auckland's Regional Governance Proposals" be received.
2. That it be noted that the proposal outlined in pages 29 to 34 (Appendix "D31 – D36") of the paper constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved.
3. That subject to recommendation 4 below, Manukau City Council endorses the proposal to strengthen Auckland's regional governance as a submission from the Auckland region to the government.
4. That while endorsing the broad intent of the proposal Manukau City Council notes that:
 - It has a strong preference for a directly elected leader of the Greater Auckland Council rather than a chair elected by the council.
 - It supports the existing basis of electing councillors for the Auckland Regional Council, with the exception of the Chair, being retained for the Greater Auckland Council.
 - It has a strong preference for a Council Controlled Organisation (CCO) model to be applied to the delivery functions of the Greater Auckland Council.
 - It wishes further analysis to be undertaken as to the desirability of Auckland Regional Holdings (ARH) funds being used to capitalise Auckland Regional Transport Authority (ARTA) and as a consequence the continued existence of Auckland Regional Holdings.
 - It wishes further consideration to be given to ways of accelerating and funding the commitment to further investigate shared services.
 - It wishes the role of the Greater Auckland Council to be clarified to ensure it does not exert undue influence in matters of local land use policy where district plans and sector agreements are consistent with the regional growth strategy.
5. That, if broad agreement is achieved amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation on the proposal be undertaken in February/March 2007.
6. That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal.

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

North Shore City Council

The following are from the minutes of the North Shore City Council meeting, 15 December 2006.

1. That the report be received.
2. That it be noted that the proposal outlined in pages 29 to 34 of the paper (dated 4th December 2006) constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved. In particular the North Shore City Council supports:
 - (a) (i) Retention of the ownership of Watercare by the territorial authorities (but opposes acquisition of NSCC water services assets)
 - (ii) NSCC supports the following voting basis:
2 votes/members each for ACC / MCC / NSCC / WCC and one vote/member for RDC, PDC and FDC, with (possibly) observer status for ARC.
 - (b) Multi-year funding agreements between central and local government.
 - (c) Establishment of new funding tools for local government and particularly a regional petrol tax primarily for the delivery of public transport infrastructure across the region.
 - (d) Transportation:
 - That transport strategies and plans are completely integrated and adhered to between regional, local and national government;
 - That transport and land use strategies and plans are also integrated and followed by all parties; and
 - That funding is fully provided and allocated to support their implementation over both the short and longer term
 - NSCC would also support the abolition of current divisions between ARTA, ARH and ARC, together with negotiated additional powers to provide a more integrated and effective regional public transport system
 - (e) Representation:
 - NSCC believes there is no need to change the name of the ARC or the election process or title of the Chair of ARC.
 - Chair/Mayor elected within
 - NSCC would support some review of the electoral system for ARC but is opposed to the use of Parliamentary boundaries.
 - (f) NSCC supports the development by the Forum, of co-ordinated action for the Auckland region to promote social, economic, cultural and environmental well being through prioritised action plans. It is envisaged that the process would produce a series of negotiated multilateral and or bilateral agreements with the objective of implementing and funding strategies.

Formed resolutions by the Auckland territorial authorities and the Auckland Regional Council

- (g) NSCC supports the investigation by the councils of an expansion of shared services arrangements, and ways of optimising current rates bases and tools.
 - (h) Regional Facilities:
 - That regionally acceptable criteria be developed to determine what is a regional facility and that a governance model be agreed upon before any regional facility funding legislation is enacted.
3. That the North Shore City Council endorse the proposal to strengthen Auckland's regional governance as a submission from the Auckland region to the government.
 4. That if broad agreement is achieved amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation on the proposal be undertaken in February/March 2007.
 5. That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal, and add two more council representatives on the Political Reference Group from North Shore City Council.
 6. NSCC supports the retention of the current legislation relating to Watercare Ltd's pricing regime.

Papakura District Council

The following are from the minutes of the 312th Ordinary meeting of the Papakura District Council, 11 December 2006.

RECOMMENDATIONS

1. That the information be received.
2. That the paper entitled "Strengthening Auckland's Regional Governance Proposals" be received.
3. That it be noted that the proposal outlined in pages 29 to 34 of the paper constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved.
4. That Papakura District Council endorse the proposal to strengthen Auckland's regional governance as a submission from the Auckland region to the government including a preference for the following representation arrangements:
 - i. An enlarged regional council based on central government electoral boundaries
 - ii. A leader elected from within the Council.
 - iii. The Greater Auckland Council be elected at the same time as elections for Parliament.
5. That if broad agreement is achieved amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation on the proposal be undertaken in February/March 2007.
6. That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal.

Rodney District Council

The following are from the minutes of an Extraordinary Meeting of the Rodney District Council, 7 December 2006.

RECOMMENDATIONS

1. That the paper entitled "Strengthening Auckland's Regional Governance Proposal" be received.
2. That it be noted that the proposal outlined in pages 28 to 33 of the paper constitutes a package of reforms which are largely inter-dependent, particularly if broad agreement amongst the councils in the Auckland region is to be achieved.
3. That the Council endorse the proposal to strengthen Auckland's regional governance in principle, subject to the conditions and reasons attached as **Appendix 1**, as a submission from the Auckland region to the government.
4. That, if broad agreement is reached amongst the councils in the Auckland region, then joint (or co-ordinated) public consultation on the proposal be undertaken in February/March 2007.
5. That the Political Reference Group be empowered to conduct ongoing discussions with government ministers as to the refinement and implementation of the proposal.

[See over for Appendix 1.]

APPENDIX 1

RODNEY DISTRICT COUNCIL SUBMISSION ON THE PROPOSAL TO STRENGTHEN AUCKLAND'S REGIONAL GOVERNANCE

The following comments represent the view of the Rodney District Council on the proposal to strengthen regional governance in Auckland.

To place the Rodney District Council's viewpoint in context, two important factors must be noted:

- the Rodney district comprises 45% of the land-mass of the Auckland region (95% of this land area is rural and its economy strongly orientated towards primary production)
- It is one of the fastest growing districts in New Zealand.

These two factors create their own challenges and clearly influence and shape the view of the Council.

Managing growth on Auckland's fringe is a key issue for the Council, as it is for our communities. The nature and form of regional governance impacts directly on the Council's ability to manage growth in a sustainable manner. The Council would be remiss in performing its duty, and representing the people of our district, without bringing this into the current discussion.

The aim to produce a unified vision for Auckland and to unify local authority and central government leadership in the region is supported, as is the drive to increase Auckland's international competitiveness and long term sustainability.

The proposal, as depicted on page 31, essentially has two component parts:

- Political representation (the Greater Auckland Council and Regional Development Forum components)
- Functional / structural arrangements that encompass the shaping and delivering of "One Plan".

1. POLITICAL REPRESENTATION

As stated on page 15, "*collaboration requires incentives and the involvement of national governments...*". The Council does however not find a corresponding 'incentive' in the proposal for local government, at a political decision and policy-making level. This is not a matter of 'detail' that can be determined at a later stage as suggested, but is fundamental to whether the proposal will make a difference to Auckland, or whether it merely is an enhancement of the status quo.

This very concern is expressed (page 17 – "*...structural reform itself is insufficient to address the key problems...*" and page 23 – "*...another process designed...without any corresponding change in attitudes...*") but insufficiently addressed. Two possible

options are given as examples (the status quo and Parliamentary boundaries). Yet neither of these examples addresses the fundamental problems of:

- creating a direct link between the Greater Auckland Council (GAC) and local councils – thereby establishing lines of much needed accountability, communication and integrated decision-making, nor
- providing an incentive, and buy-in, for local councils to support and implement policies and strategies developed by the GAC – when they have no say and/or influence (other than that which the GAC may grant) in such policies and strategies.

Unless these issues are addressed, strengthening Auckland's governance will result in little real benefit and progress.

The Rodney District Council therefore strongly recommends that:

- a) the principle of direct representation of local council's on the GAC be established. In this regard the Council suggests a GAC comprising 14 members elected at large (current ARC arrangement) plus seven representatives from the seven TAs (Mayor or his/her nominated representative) reflecting the view of local councils and their communities.
- b) the Chairperson of the GAC be elected from within its members. To ensure adequate democracy, it could be that a Mayor may not be elected as Chairperson.
- c) the current Mayoral Forum be disbanded (regional political coordination will occur through the GAC) or its Terms of Reference redefined to exclude matters dealt with through the GAC.

The Council suggests that should points a) to c) above not occur, then at a minimum the LGAAA must be repealed. This will to some degree enable the Rodney District Council to sustainably manage growth.

The next proposed level of political representation occurs through the Regional Sustainable Development Forum. This Forum does include elected representatives from all the councils of the region, which is fully supported. However, it is understood that the Forum, as a sub-committee of the GAC, will simply make recommendations to the GAC as *"the lead council"*.

The Council's concern is that recommendations from the Forum can therefore be overruled by the GAC as the lead council, thereby negating the *"participation and contribution"* of local councils and communities. Whether stated or not, the level or extent of *"participation and contribution"* is at the discretion of the GAC. This risk is especially true when local councils have no input at the GAC.

2. FUNCTIONAL / STRUCTURAL ARRANGEMENTS TO SHAPE AND DELIVER THE ONE PLAN

2.1 Strategic Framework / One Plan

The need for a Strategic Framework/One Plan for the region, and the subsequent strategy development and implementation streams, is supported in principle. This support is based on the premise that the One Plan recognises the role and importance of rural areas within the region. There are however matters that require further clarification, for example, detail regarding the "Growth" stream of the strategic framework. Questions such as below remain unanswered at this stage:

- how exactly TAs achieve adequate and meaningful representation in the development and implementation of growth strategies (flowing from the One Plan), and
- what level of detail (with regard to growth and land-use) does the GAC regulate and what is regulated by local authorities (again, within the context of the One Plan).

Greater clarity is also needed on the envisaged scope (more than the stated four well-beings) and content of the One Plan.

Without further clarity on the abovementioned, the Rodney District Council endorses this component of the proposal in principle only.

2.2 Delivery of One Plan (p 32)

The GAC will *'seek delivery on the One Plan through the Regional Sustainable Development Forum via binding implementation plans and agreements with councils'*. The GAC however remains the *'lead council'* and the Council's concern that it may veto or negate recommendations from the Forum remains. The balance between *'negotiated agreements'* with TAs, obligations under the RMA and *'binding implementation plans'* need to be clarified.

2.3 Funding (p32)

The funding proposals as detailed on page 32 are supported. However, improved deployment of, and access to existing taxes, such as the current fuel tax, has not been considered. All avenues should be explored for improvements.

2.4 Additional GAC responsibilities (p33)

The delivery role of Auckland Plus in relation to Economic Development must be clarified. The Council recommends that Auckland Plus sets regional direction, and that local delivery occurs through EDA's.

The funding of regional facilities and amenities by the GAC is supported. No mention is however made of regional events (e.g. Ellerslie Flower Show / Pacifica etc.) and whether the GAC has a funding role in this regard. Also, the funding formula (e.g. area of benefit and/or population) will require careful consideration.

Mention is made of the Regional Land Transport Committee (RLTC). Given the current plethora of committees in the region, consideration should be given to whether the RLTC still has a legitimate role or whether it could be incorporated into another committee/structure of the GAC (providing TAs are directly represented on this body).

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

2.5 Watercare (p33)

The Council supports the repeal of the specific legislation relating to Watercare so that it can operate as a normal CCO. However, the use of taxable dividends to fund stormwater expenditure is questioned.

It is also noted that Watercare is not mentioned in the diagram presented on page 31.

2.6 Regional transport / ARTA

Little is stated regarding ARTA in the proposal other than it being a delivery unit of the GAC, and that a legislative amendment is required to put it on "a standard CCO footing" (page 34). This does not address the governance structure of ARTA in a clear way. The Council recommends that ARTA be established using the 'club membership' model similar to Watercare, rather than a CCO of the GAC.

3. CONCLUSION

All of the above points to the fact that additional clarity is required on numerous issues. Without this clarity it is difficult to establish clear roles and responsibilities, and the subsequent implications of such. Still, it is understood that these are matters that can be discussed and practical solutions found.

However, the Council reiterates that political representation is key to making this proposal work. This is a matter that must be addressed from the outset – not something to be determined at a later stage.

For this reason the Rodney District Council can only endorse the proposal in principle subject to more definitive answers being provided on the envisaged political representation – and how this will contribute to addressing Auckland's barriers to becoming a world class city/region, as well as address the regional governance problems the people of Auckland currently face.

Waitakere City Council

The following are from the minutes of a meeting of the Waitakere City Council,
14 December 2006.

1. That the Strengthening Auckland's Regional Governance – Proposal report be received.
2. That Waitakere City Council endorses, in principle, the proposal to strengthen Auckland's regional governance as a submission from the Auckland region to the government but has grave concerns at the short time provided to consider these issues and the lack, to date, of any public consultation.
3. Further, the Council wishes to state its strong views on the following and that these views be taken into account in any final reform(s):
 - a) That no decision on detail relating to electoral structure of the Greater Auckland Council be made at this stage but be developed and discussed during the community consultation phase;
 - b) That there must be no change to the structure and/or ownership of Watercare and that the notion of vertical integration must not be given any further consideration;
 - c) That this Council wishes to see any regionally funded facilities owned by a regional entity and requisite funding to be raised on a formula other than a straight population basis.
 - d) That this Council supports the concept of a regional economic development agency but that local economic development agencies such as Enterprise Waitakere, continue to deliver services locally.
4. That, if broad agreement is achieved amongst the councils in the Auckland region, then joint co-ordinated public consultation on the proposal be undertaken in February/March 2007 and that any consultation be carried out for a minimum of 8 weeks.
5. That the Political Reference Group be empowered to conduct ongoing discussions with government Ministers as to the refinement and implementation of any proposal and that His Worship the Mayor and Councillor Penny Hulse (with Councillor Derek Battersby as alternate) continue to represent Waitakere on this group.
6. That Mayor Bob Harvey, Cr Battersby, Cr Hulse and all Council staff who have been involved in the Strengthening of Auckland's Regional Governance Proposal be thanked for their good work.

Formal resolutions by the Auckland territorial authorities and the Auckland Regional Council

Strengthening Auckland's Regional Governance

Report on Progress

For Discussion & Feedback

16 May 2007

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Overview

Purpose

This report has been prepared as the next stage of developing and assessing the options identified in the December package to Strengthen Auckland's Regional Governance.

The agreed process provided for a draft document to be presented to the Political Reference Group before being finalised. The feedback from the PRG has been incorporated into this document.

This document is intended to be considered by Councils and Ministers with an opportunity for them to provide substantive feedback and guidance as to the way forward by 5 June. A final document will then be produced for formal consideration and adoption by Councils and Ministers.

This report provides an overview of the issues and assesses the options available with respect to key elements of the proposal. The analysis and commentary has been prepared by officials from both Central and Local Government.

It is not intended that the report provide recommendations at this point. Recommendations may well emerge as feedback is received.

Introduction

This report builds on the package presented to the Government in December 2006. That package was designed to both strengthen regional governance and create a new framework for the delivery and funding of activities within the Auckland Region.

Following consideration by Ministers it was agreed that a joint process be undertaken involving both Central and Local Government officials with a view to providing recommendations on how best to advance the issues associated with strengthening governance.

The key reference point for the work to be undertaken was to be the package presented in December. The key details of the package are set out below:

- The creation of a Greater Auckland Council (GAC) with an appropriate new name, potentially new directly elected representation arrangements, a broader role and responsibilities, and access to new funding sources as well as regional rates.
- The establishment of a Regional Sustainable Development Forum, as a standing committee of the Greater Auckland Council. This Forum would have similarities to the current multiparty Regional Growth Forum led by the ARC.

- The development by the Forum, of "One Plan" for the Auckland region to promote social, economic, cultural and environmental well being through prioritised action plans. It is envisaged that the process would produce a series of negotiated multilateral and or bilateral agreements with the objective of implementing and funding strategies.
- The development of new national strategies by central government, that link with and guide regional strategies as well as central government funding and delivery.
- Multi-year funding agreements between central and local government.
- Amendment of transport legislation to enable the Regional Land Transport Strategy to specify both major projects and areas for focus and activity.
- Amendment of water legislation to enable Watercare to pay its territorial authority owners a dividend to fund stormwater infrastructure.
- The investigation by the councils of an expansion of shared services arrangements, and ways of optimising current rates bases and tools.

A copy of the letter sent by John Robertson as Chair of the Mayoral Forum is attached as Appendix 1.

Objectives

The overarching objective for this work has been to look for ways to strengthen Auckland's regional governance to achieve:

- A common vision for the future;
- An integrated and prioritised set of strategies for regionally significant issues;
- Clearer mechanisms and incentives to provide more certain implementation of decisions made;
- More alignment between local and central Government strategies and funding;
- A platform to facilitate the creation of Auckland as a world class city;
- Improved economic and social performance of Auckland and the nation as a whole; and
- An improvement in the quality and timeliness of decision making together with agreed commitments for the associated funding to deliver on the decisions taken.

These objectives are derived from both the drivers for the December package and broader national considerations.

The December proposal also stressed the importance of:

- local democracy,
- sufficient revenue streams; and
- improved value for money
- as key considerations for the development of the way forward.

Key Elements of the Proposal

The proposal does involve a wide number of options with respect to its individual components. In addition, many of the options and choices available are to a certain extent interdependent. Similarly some issues are mutually exclusive.

As a consequence it has been necessary to focus on the key elements of the proposal and in doing so create a framework to address those matters that materially influence, and or impact, on the final shape and feasibility of the proposition. Feedback from Councils on the individual components will assist in developing the potential model(s) for strengthening Auckland Regional governance.

The December proposal sought to move forward on the basis of a compromise between two different approaches to strengthening regional governance that had emerged through its development. Those approaches either:

- addressed the issue by integrating all regional responsibilities under one strengthened regional governance entity; or
- overcame the fragmentation of responsibilities for regionally significant decisions by mechanisms and processes for collaboration and coordination between Councils.

The compromise is reflected by the recommendation of both a regional collaboration mechanism (the RSDF) and a “strengthened” regional entity (the GAC).

For the purposes of presentation the key elements have been identified as:

- Mechanisms aimed at strengthening governance relating to:
 - The role and accountability of the GAC including its representation
 - The role of the Regional Sustainable Development Forum
 - The scope of the One Plan including the proposed process for development of the One Plan, including the negotiation of funding for implementation actions and the timing and staging of developing the One Plan, recognising that much of the work already undertaken with respect to the Long Term Sustainability Framework and regional strategies represents a first step.
- The advancement of the other regionally significant issues (as identified in the December package) and their associated work streams.

Strengthening Governance

Greater Auckland Council

Role - The Greater Auckland Council (GAC) is to provide regional leadership for Auckland. It would assume responsibility for regionally significant issues associated with the social, cultural, economic and environmental well being of the region. In that context it would undertake the existing roles of the Auckland Regional Council together with an expanded role in regional economic development, tourism promotion and events management, funding and owning regional facilities and amenities, and advocacy to central government on regional issues.

The December proposal identified the need for additional funding sources as a necessary prerequisite for a strengthened regional body. It was further recognised that the existing rating base was inadequate to resolve the issues of regional significance faced by the region – both in terms of the current shortfall on key services such as transport, the proposed expansion in services relating to economic development and regional facilities and the anticipated increase required with respect to key infrastructure investment and services. The need for new mechanisms was linked to the additional functions that would be assumed by the GAC and would include a range of options/mechanisms such as a regional fuel tax, development levies and departure tax for specific expenditure.

Agreement on the need for the new funding tools was seen as a fundamental aspect of the December proposal and a necessary precondition of moving forward. The exact nature, quantum and timing of the funding mechanisms would be the subject of specific business proposals to Government. Those proposals would be based on specific issues and or investments (such as Electrification) where it was established existing funding sources were inadequate.

The December proposal also recognised that the current alignment of funding mechanisms with governance arrangements was not optimal for achieving the region's and Government's aspiration of Auckland becoming a world-class city. The December proposal canvassed various options to address the perceived misalignment of current funding, including better alignment of existing national, regional and local funding to agreed regional priorities through the One Plan process and full optimisation of the rating base within the region.

Under the December proposal it was also envisaged that the GAC would assume responsibility for convening the Regional Sustainable Development Forum (RSDF);

Arising from the December proposal there were several key issues left unresolved relating to the following:

Role of the GAC with respect to the One Plan – as noted above, the GAC would be the convenor of the RSDF (the developer of the One Plan). On the basis of further work, it is now suggested that the GAC would also:

- Provide Executive Support to the RSDF;
- Receive the One Plan as a recommendation from the RSDF. This could require the prior ratification of the Plan by all parties. The GAC would have the option of adopting the One Plan or being able to refer the One Plan back to the RSDF but its power to unilaterally change the One Plan could be limited;
- Become the implementer of the One Plan decisions for which it has responsibility;
- Undertake the role of Guardian of, and negotiator for, the implementation of the commitments (including funding commitments) arising from the One Plan;
- Monitor the overall implementation of the One Plan.

In addition, the GAC would have a key role in managing the relationship with central Government on issues of regional significance. The RSDF would also have a role with respect to engaging with and aligning Government priorities.

Representation – Two elements were identified here, membership of the GAC and the mechanism by which the leader is appointed.

There are a range of options available with respect to membership including:

- Status Quo whereby up to 14 members are directly elected on a constituency basis (based on Territorial Authority Boundaries).
- An enlarged number of members based on:
 - Parliamentary Boundaries;
 - Status Quo plus the appointment of one person by/from each territorial authority;
 - All GAC members appointed by Auckland territorial authorities;
 - Status Quo plus additional members elected at large across the region (up to half of the total number of GAC representatives).

All alternatives to the status quo would require legislative amendment.

Some of the considerations to take into account when considering these options include:

- the best means of supporting regional democratic accountability;
- the recognition that although the existing electoral system for the Regional Authority is undertaken on a geographic constituency basis the elected members do take an oath to act in the interest of the Region;
- whether particular representation options will provide greater opportunities for greater alignment between regional and national interests;
- the role and responsibilities of the RSDF.

In terms of the appointment of the leader of the GAC, two options were outlined in the December proposal. These related to a:

- Directly elected regional leader;
- Chair elected by the GAC from within its own membership.

The majority of Councils' resolutions support retaining an internally elected chair. One Council supported the concept of a directly elected leader. In the past, central government agencies have also considered this issue. Proposals to allow directly elected regional leaders (Mayors) were explicitly considered and rejected during the review that led to the Local Government Act 2002.

Legislation would be required if there was to be a directly elected leader.

Regional Sustainable Development Forum

Under the December proposal it was envisaged that the RSDF would be a standing committee of the Greater Auckland Council. It would comprise elected representatives from all the councils of the Auckland region, plus central government representatives.

Depending on the nature of the issue, and recognising the need for alignment across all sections of the community, it is envisaged that the Forum would involve non-governmental representatives from time to time. The Forum would have similarities to the current multiparty Regional Growth Forum led by the ARC.

The role of the RSDF would be the development and recommendation to the GAC of the One Plan. Such a process would:

- improve collective decision making about matters of regional strategic importance (i.e. One Plan content);
- help facilitate a strengthened link to the implementation and funding of regionally agreed priorities;
- develop the vision and outcomes sought for the region, including specifying the priorities and funding envelopes/parameters that parties are prepared to commit to the agreed priority regional strategies.

The December proposal identified but did not reach shared understanding of issues relating to:

- Representation;
- Decision making and voting systems;
- Consultation/Accountability;
- Relationship with existing fora.

Representation – The December Council resolutions identify a number of options concerning Council membership and voting strength on the RSDF. The resolutions conveyed concerns about the effective functioning of the RSDF to ensure that critical regional decisions are made taking into account the views and perspectives of all parties. It was recognised as being important that the RSDF functioned to ensure a focus on regional priorities and the maximum commitment to final One Plan decisions by all parties involved. This would include the involvement of central government.

The options put forward with respect to Council membership include:

- One representative from each Council;
- RSDF to comprise two (or more) representatives from each Council.

The December package left open for further consideration the position with who would be the Council representative on the RSDF. Depending on the option selected above two options have been identified being:

- the Council representatives on the RSDF would automatically be the Mayor (and their deputy in the event there was to be more than one representative per council); or
- Each Council would appoint a representative or representatives from their elected members.

The decisions about representation on the RSDF need to be considered in conjunction with options for the voting/decision making method (discussed below).

As in the case of local Councils, there are a range of options with respect to Central Government participation including:

- Full membership of the RSDF through representation by one or more Ministers;
- Full membership of the RSDF through representation by one or more especially appointed persons, or through officials with special delegations;
- Subsidiary membership (speaking but not voting rights. This would have a flow on effect to the degree to which the Government could be expected to be bound by the One Plan decisions.

It is also likely that the RSDF will involve a number of key stakeholders in its deliberations from time to time. It is not envisaged that these stakeholders would have formal representation on the RSDF.

The position with respect to Mana Whenua/Tangata Whenua needs to be recognised. Two options have been identified relating to either:

- Full participation with voting rights;
- Direct recognition as a key stakeholder with a separate consultation process.

The December proposal and Council resolutions were also silent on the issue of the Chair of the RSDF. Given the key role of the RSDF in the development and recommendation of the One Plan the role of Chair is critical to the overall

direction, style and level of buy in and commitment of the participants. The key options identified with respect to the issue of Chair are identified as being:

- the Leader of the GAC automatically assumes the role Chair of the RSDF;
- The GAC appoints the Chair of the RSDF, either from within the GAC or the RSDF;
- The membership of the RSDF appoints the Chair in accordance with the voting procedures established for the RSDF.

Decision Making and Voting Systems - Although the model discussed in this paper is that the RSDF would provide recommendations to the GAC, the decision-making processes which the forum employs to reach agreed recommendations remain important because they are a mechanism to:

- Ensure that all parties to One Plan have a fair opportunity to influence the content of the document recommended to the GAC for adoption;
- Encourage buy-in and commitment to One Plan and One Plan priorities, funding and implementation;
- Encourage the development of One Plan priorities which are meaningful and which met the needs of the region (some decision-making models carry the risk of encouraging a 'lowest common denominator' approach).

Assuming that only agencies committed to funding the implementation of One Plan will be party to the decision making, and recognising that there are a range of models already in operation in the region, the range of possible decision making models for the RSDF include:

- An honest attempt is made to make decisions by consensus. However, if this fails, RSDF decisions are made by majority vote. Each agency represented on the RSDF has one vote (as opposed to each member having one vote).
- An honest attempt is made to make decisions by consensus. However, if this fails, RSDF decisions are made by majority vote, but voting is weighted in proportion to population. This is similar to the existing formula for the Auckland Memorial Museum Electoral College;
- An honest attempt is made to make decisions by consensus. However, if this fails, RSDF decisions are made by majority vote, but voting is weighted in proportion to financial commitment to One Plan implementation. This is similar to the model used for Watercare Services Limited.