

## **HENDERSON HERITAGE TRUST APPOINTMENT**

### **PURPOSE**

The purpose of this report is to enable the City Development Committee to confirm the appointment of a Councillor to the Henderson Heritage Trust.

### **BACKGROUND**

The Henderson Heritage Trust is not a Council Trust but comprises a group of Henderson Business People who have formed a Trust to restore heritage buildings in Henderson and heritage related projects. They work alongside the Council's Henderson Project Manager in joint heritage projects.

### **ISSUES**

The Trust Deed allows for one Councillor and the appropriate Council Staff Members to be appointed for each triennial term of Council.

In the past Council triennial term 2001-2004, the appointment of a Councillor was made by the Henderson Community Board and the appointee regularly reported back to the Board under the Board Members' Reports regarding the activities of the Trust. However, all Henderson Integrated Projects to develop the Waitakere Central Business District in Henderson are dealt with by the City Development Committee. Therefore, it is appropriate that the appointment of a Councillor to this external Trust is made by the City Development Committee.

The appointment for the 2004-2007 triennium was inadvertently made by the Henderson Community Board which appointed Councillor Gilmour. Councillor Stone was concurrently appointed by the City Development Committee. Councillor Stone has since agreed that Councillor Gilmour be the appointee to the Henderson Heritage Trust.

### **CONCLUSION**

The City Development Committee needs to confirm this appointment.

### **RECOMMENDATIONS**

1. That the Henderson Heritage Trust Appointment report be received.
2. That Councillor Gilmour be appointed to the Henderson Heritage Trust replacing Councillor Stone.
3. That future appointments to the Henderson Heritage Trust be made by the City Development Committee or the appropriate committee.

Report prepared by: Audrey Chan, Committee Secretary.



# Local Government New Zealand

te pūtakehi matakōkiri

24 December 2004

*Cherie to  
18/1*

Chief Executive's Office  
RECEIVED  
05 JAN 2005  
*Darryl Griffin*

## - MEMORANDUM -

TO: All Zone 1 Community Board Chairs

FROM: Eugene Bowen

SUBJECT: Zone 1 Appointment to New Zealand Community Boards' Executive Committee: Revised Announcement

As Returning Officer it has come to my attention that, due to an administrative oversight, we have a further nomination for the position of Zone 1 Representative.

Please accept my sincerest apologies for this error. I confirm that there will be an election for the Zone 1 Deputy Representative appointment, and for the Zone 1 Representative appointment.

Voting papers will be available at the ARFNCA meeting on the 19<sup>th</sup> February and can be either placed in the secure ballot box on the day, or mailed to Gilly Fiford at Local Government New Zealand, P O Box 1214, Wellington, and should arrive no later than 5:00pm Wednesday 23 February 2005.

Eugene Bowen  
Chief Executive  
Local Government New Zealand

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effective local governance



# WAITAKERE CITY

## COMMUNITY BOARDS CODE OF CONDUCT

Adopted by Waitakere Community Board 30 September 2003  
(Minute Number 1960/2003)

WAITAKERE CITY COUNCIL  
COMMUNITY BOARDS  
CODE OF CONDUCT

INTRODUCTION

This code of conduct provides guidance on the standards of behaviour that are expected from elected members (together and individually referred to in this code as "member") of the Community Boards of Waitakere City; namely Henderson Community Board, Massey Community Board, New Lynn Community Board and Waitakere Community Board, subject to the adoption of the code by each Board, in their dealings with:

- each other
- the Council
- the Chief Executive
- staff employed by the Chief Executive on behalf of Council
- the media
- the general public

The objectives of this code are to enhance:

- The effectiveness of the Community Board as an unincorporated body with statutory and delegated responsibilities for communicating community interest to the Council in respect of the Community for which they are established
- the credibility of the Community Board within its Community
- mutual trust, respect and tolerance among members as a group and between members and those people with whom members may deal in the course of their duties as members

The Code of Conduct is based on the following general principles of good governance:

- **Honesty and integrity.** Members must not place themselves in situations where their honesty and integrity may be questioned
- **Objectivity.** Members must make decisions on merit, including decisions making appointments, awarding contracts, or recommending individuals for rewards or benefits
- **Accountability.** Members must be accountable to the public for their actions and the manner in which they carry out their responsibilities
- **Openness.** Members must be open about their actions and those of the Community Board, and be prepared to justify their actions
- **Personal judgment.** Members can and will take account of the views of others, but must reach their own conclusions on the issues before them
- **Respect for others.** Members must promote equality by treating people with respect, regardless of ethnicity, nationality, age, religion, gender, sexual orientation, or disability
- **Duty to uphold the law.** Members must uphold the law, and on all occasions act in accordance with the trust the public places in them
- **Stewardship.** Members must ensure that the Community Board uses any resources allocated to it prudently and for lawful purposes
- **Leadership.** Members are leaders in their Community and must at all times provide leadership by example

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## Scope of this Code

This code applies to:

- all elected members of the Community Board
- all persons appointed to any Subcommittee, or joint Subcommittee
- Councillors appointed to the Community Board

## ROLES AND RESPONSIBILITIES

### Members

Members, acting as the Community Board, are responsible for:

- representing, and acting as advocates for the interests of the Community
- considering and reporting on all matters referred to it by the Council, or any matter of interest or concern to the Community Board
- maintaining an overview of the services provided by the Council within the Community
- preparing an annual submission to Council, for expenditure within the Community
- communicating with community organisations and special interest groups within the community
- undertaking any other responsibilities delegated to it by Council.

Members, as individuals, must comply with:

- the requirements of this code
- those enactments and other rules of law applicable to the conduct of Members. Extracts from, or brief explanations of, those enactments are set out in Appendix B.

### Chairperson

The Chairperson is elected by the Members of the Community Board and shares the same responsibilities as other Members of the Community Board. The Chairperson is responsible for:

- presiding at Community Board meetings and ensuring the conduct of meetings in accordance with Standing Orders
- advocacy on behalf of the Community, with the knowledge and support of the Council
- providing leadership to members

### Deputy Chairperson

The Deputy Chairperson exercises the same role as any other Members but if the Chairperson is absent or incapacitated the Deputy Chairperson must perform all of the responsibilities and duties of the Chairperson.

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## Subcommittee Chairpersons

A Subcommittee chairperson exercises the same role as any other member but has responsibility to preside over all meetings of the relevant Subcommittee and to ensure that the Subcommittee acts within the powers delegated to it by the Community Board (as set out in the Council's Delegations Manual).

## Chief Executive

The Chief Executive is responsible for:

- employing staff on behalf of the Council (including negotiation of the terms of employment for the staff of the local authority) and providing leadership to that staff
- providing advice to the Council and Community Boards
- implementing the decisions of the Council
- ensuring that all responsibilities, duties and powers delegated to, or imposed or conferred upon, the Chief Executive are properly performed or exercised
- managing the Council's activities effectively and efficiently
- maintaining systems to enable effective planning and accurate reporting of the financial and service performance of the Council
- ensuring overall compliance by the Council with its obligations and responsibilities at law.

## RELATIONSHIPS AND BEHAVIOURS

### Relationships with Other Members

Successful teamwork is a critical element in the success of any democratically elected organisation. No team will be effective unless mutual respect exists between Members. Members must conduct their dealings with each other in ways that:

- maintain public confidence in the office to which they have been elected
- are open and honest
- focus on issues rather than personalities
- avoid conduct which is aggressive, offensive or abusive or which may constitute unlawful or inappropriate harassment.

### Relationships with Staff

The effective performance of Council also requires a high level of cooperation and mutual respect between Members and staff. To ensure that the required level of cooperation and trust is maintained Members must:

- recognise that the Chief Executive is the employer (on behalf of Council) of all staff. Only the Chief Executive may hire or dismiss, or instruct or censure, an employee
- Members must comply with Council's anti-harassment policies
- treat all staff with courtesy and respect, avoiding conduct which is aggressive offensive or abusive or may constitute unlawful or inappropriate harassment
- observe any guidelines which the Chief Executive has put in place regarding contact with employees

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- not do anything which compromises, or could be seen as compromising, the impartiality of an employee
- avoid publicly criticising a staff member in any way, including criticism that reflects on the competence and integrity of the staff member
- raise concerns about staff only with the Chief Executive
- raise concerns about the Chief Executive only with the Mayor or the Performance Review Committee

Members must be aware that any failure to observe this portion of the code may compromise the Council's obligations to act as a good employer, resulting in the Council being exposed to civil litigation.

### Relationships with the Community

Members must act in a manner that encourages and values community involvement in local democracy. The views of members of the public must be accorded respect with Members listening to and deliberating on concerns carefully and patiently. Members must avoid aggressive or abusive behaviour towards members of the public.

### Contact with the Media

The media plays an important role in the effective operation of local government. In order to fulfil this role the media needs access to accurate, timely information about the affairs of Community Boards.

The following rules apply for media contact on behalf of Community Boards:

- no Member may speak to the media on behalf of the Community Board unless first approved to do so by resolution of the Community Board, or of a Subcommittee of the Council, or by the Chairperson as set out below
- the Chairperson is the first point of contact for the Community Board view on any issue. If the Chairperson is absent, or unable to act, a matter may be referred to the Deputy Chairperson or to the relevant committee chairperson (in that order) for a response
- the Chairperson may refer any matter to the relevant Subcommittee chairperson, a Member or to the Chief Executive for comment

Elected Members remain free to express a personal view in the media at any time but any comments made must observe all requirements of this code and must state that the comments represent a personal view only, and (if the comment is contrary to a Community Board decision or policy) that the comment is a minority view.

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## Confidential Information

In the course of their duties Members will receive information that is confidential. This will generally be Council information that is either commercially sensitive or is personal to a particular individual or organisation. Members must not use or disclose confidential information for any purpose other than the purpose for which the information was supplied to the member. Any failure to observe these provisions may affect the Council's performance, by inhibiting information flows and undermining public confidence in the Council. Failure to observe these provisions may also expose the Council to prosecution under the Privacy Act 1993 or civil litigation.

For the purpose of this part of the code "disclose confidential information":

- in relation to information disclosed from outside the Council to the member in his or her capacity as an elected member on a confidential basis, means "disclose confidential information to any other person". (These circumstances will arise only very rarely. See also the section on "Information Sharing" below)
- in relation to information disclosed to the member in a public excluded meeting of the Community Board or a Subcommittee, means "disclose confidential information to any person who was not present at that publicly excluded meeting"
- in relation to any other information disclosed to the member in his or her capacity as an elected member, means "disclose confidential information to any other person who is not a member or employee of the Council (but with any disclosure to a member or employee being made on a "need to know" basis)

## Information Sharing

The purpose of this part of the code is not only to ensure a co-operative sharing of information among Members but also to enable the Council to fulfil its obligations under Local Government Official Information Meetings Act 1987 (LGOIMA) generally in respect to Community Boards, and under s.44A Local Government Official Information Meetings Act 1987 (LGOIMA) (Land Information Memorandum) and s.31 of the Building Act 1991 (Project Information Memorandum).

A Member may occasionally be offered information, including documents, in his or her capacity as an elected Member which relate to the ability of the Council to give effect to the provisions of the Act, or any other legislation for which the Council is responsible (for example, without limitation, Resource Management Act, Building Act or Dog Control Act). Such information, when received, must be shared with all other Members and, in an appropriate case, the Chief Executive.

If information of that nature is offered on a confidential basis the Member must:

- inform the supplier of the information that the Member may have a disclosure obligation under this code
- inform the supplier that public disclosure of information received by the Council is governed by the LGOIMA and that under LGOIMA public disclosure may occur without the supplier's consent
- decline to receive the information if the supplier insists upon absolute confidentiality

The only exception to the requirement of this part of the code arise where the information in question would, once received, be exempt from disclosure upon a request for disclosure made under LGOIMA. Determination of the availability of an exemption under LGOIMA is delegated to the Chief Executive, and all such matters must be referred to the Chief Executive (or his delegate) before the information or document is received.

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One possible outcome of consideration of the availability of an exemption is that internal dissemination of the information or document may be restricted, on a "need to know" basis.

### Conflicts of Interest

The phrase "Conflicts of Interest" is used to describe three distinct and separate circumstances where there is or may be a conflict between the personal interests, or the conduct of a Member, and that Member's duties as an elected Member. The statutory and common law rules relating to conflicts of interest are part of the rules of natural justice. They reflect the expectation of the community that, when making decisions on behalf of the community, Members will act impartially and in a manner appropriate to the nature of the decision. Members performing their duties will on occasion be making political decisions (for example when making policy or adopting planning documents) and on other occasions be acting in a judicial or semi judicial capacity (for example when considering resource consent applications or matters relating to exemptions from statutory requirements or bylaws). There is some limited scope for flexibility in respect of political decisions, but absolutely no scope for flexibility in relation to judicial or semi judicial decisions.

The three classes of conflict of interest are:

- a pecuniary interest in the matter before the Council, which gives rise to a presumption that there is a conflict with the Member's duties. The pecuniary interest may be direct or indirect and involve either financial gain or financial loss. These matters are regulated, in part, by the Local Authorities (Members' Interests) Act 1968. The provisions of that Act are summarised in Appendix B
- non-pecuniary interest, which may give rise to a perception of conflict between interest and duty. These may be interests or relationships arising out of kinship, marriage, domestic relationships, wider family relationships, employment or membership of community organisations
- demonstrated bias, that is the circumstances where a Member by words or action has indicated a commitment to a particular view in respect of an issue such that it is unlikely that the Member may approach the consideration of a decision on that issue with an open mind

Members must take a precautionary approach to all conflicts of interest. Members must ensure that where an audit office ruling is sought, under the Local Authorities (Members' Interests) Act 1968, the application for exemption is made on a timely basis. Where a conflict of interest is established Members must take no part in the discussion of neither the matter nor the decision. In a case of doubt a Member should withdraw. Members withdrawing:

- must leave the Community Board table and, as a minimum, sit in the public gallery
- should (in protection of their own and the Community Board interests) ensure that their actions are appropriately minuted.

The issue of conflicts of interest is a difficult one for Members. Commonly issues may arise on short notice. Matters which seem straightforward at the outset can also commonly become less clear as a matter progresses. Vigilance by Members is required. While the decision to disqualify is ultimately a matter for the Member alone, Members are encouraged to seek guidance and assistance from their colleagues or the Chief Executive.

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## Voluntary Register of Interests

To assist in the fulfilment of obligations in relation to conflicts of interest Members are encouraged to make a written statement, on a voluntary basis, within three months of the date of election or within three months of the date of adoption of this code, and annually thereafter on or before 31 January in each year, of the nature of their interests which may give rise to conflicts of interest. The matters to be covered by the Member's statement are details of:

- interests in land, whether as owner or tenant, identified by street address or, if there is no street address, by legal description
- investments in company's partnerships or joint ventures. Members are not however required to disclose details of shareholdings in publicly listed companies if those shares are held by the Member through a managed fund in respect of which the Member has no control over share trading decisions and/or the details of those shareholdings are not reasonably ascertainable by the Member
- bankers or any other person firm or organisation with whom the Member has invested money or other assets
- occupation, employment status and name of employer and, if self-employed, the name of the Member's business
- membership of community organisations, for example clubs, environmental protection organisations, churches or charitable bodies
- appointments (except appointments made by the Council) such as appointments to a Health Board, University Council or government agency
- all of the above in respect of the Member's domestic partner
- Travel Undertaken in the previous 12 months where the Member's expenses were defrayed, in whole, or in part, by a 'third' party (other than by way of a gift from a Members partner or a relative)
- Gifts received by the Member in the previous 12 months valued at \$NZ 150 or more from any person (other than a gift from the Member's partner or a relative).

When making this statement the Member must treat any interest in land or investment held by a trust of which the Member is a trustee or beneficiary or a company of which the Member is a director or shareholder the same manner as if that interest was held by the Member.

A worked example of a Statement of Interest is attached as Appendix 3.

## Ethics

Members must observe the highest personal standards of ethical conduct. Members must:

- claim only for legitimate expenses laid down by any remuneration determination and any policy of Council developed in accordance with that determination
- not influence, or attempt to influence, any Council employee to take actions that may benefit the Member, or the Member's family or other interests associated with the Member
- not use Council resources for personal business (including campaigning)
- not solicit, demand, or request any gift, reward or benefit by virtue of their position
- notify the Chief Executive, on a voluntary basis, if any gifts are accepted by a Member, in his or her capacity as a Member. If the gift exceeds \$150.00 in value, the Chief Executive will immediately make an appropriate entry in the Member's register of interests.

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## Standing Orders

Members must abide by the Standing Orders adopted by the Community Board.

## Community Boards Conduct Review Board

Compliance with the code, by Members, will be monitored by the Community Boards Conduct Review Board "(the Review Board)". This Review Board will consist of four Community Board Members, one nominated by each of the four Waitakere City Community Boards; namely Henderson Community Board, Massey Community Board, New Lynn Community Board and Waitakere Community Board, together with one person who is neither an elected member nor a staff member and who has dispute resolution skills (subject to the availability of adequate resources to meet any costs incurred in respect of the independent member).

All alleged breaches of the code must be reported to the Review Board in writing, make a specific allegation of a breach of the code, and provide corroborating evidence. The Review Board may also investigate an alleged breach on its own motion. The Review Board will investigate the alleged breach and may prepare a report for consideration by the respective Community Board. Before beginning any investigation, the Review Board will notify the Member in writing of the complaint and afford the Member a full opportunity to respond to the complaint. The Community Board concerned, will consider any report in open meeting of the Community Board, except where the alleged breach relates to the misuse of confidential information, or which would otherwise be exempt from public disclosure under LGOIMA.

For the avoidance of doubt, the Review Board is not a committee or a subcommittee of the Council, or of the Community Board, to which the requirements of LGOIMA apply. The Board shall enquire into and deliberate upon, all complaints and own motion investigations, in private.

## Consequences of a breach

Where an alleged breach of the code is reported to the Community Board by the Conduct Review Board and the Community Board finds, by a majority, that the Member has breached the code then the Community Board may apply such sanctions as are lawfully within its power.

The form of any penalty that the Community Board may apply will depend on the nature of the breach, and may include the following:

- censure of the Member, by letter and/or in open meeting
- removal of the Member from representation on Council committees or other bodies as representative of the Community Board
- removal of any special status of the member, such as the position of Deputy Chairperson or Chairperson of a Subcommittee
- if it appears that a breach of the code also constitutes a statutory offence then the Community Board may refer the circumstances of that breach to the relevant body for further action

A resolution by the Community Board that a breach of the code has occurred, and/or recording the penalty to be applied, will be final and binding on the Member to whom that resolution relates and all other Members. With the exception only of an allegation of a breach of the rules of natural justice, no Member shall seek to review or challenge the outcome under standing orders, the Act, or by judicial process, except as provided for in Appeals Procedure, below.

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### Appeals Procedure

Any Member aggrieved by any recommendation made by the Community Boards Conduct Review Board, or the decision of any Community Board relating to any report submitted by the Community Boards Conduct Review Board, may appeal to the Executive of the Auckland Region and Far North Community Boards Association Inc. After considering the appeal, the Regional Executive may uphold or set aside the original decision of the respective Community Board on the matter in question.

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## APPENDIX A

Legislation that confers obligations or responsibilities on local authorities:

Airport Authorities Act 1966  
Animals Act 1967  
Animals Law Reform Act 1989  
Arts Council of New Zealand Toi Aotearoa Act 1994  
Auctioneers Act 1928  
Biosecurity Act 1993  
Building Act 1991  
Building Research Levy Act 1969  
Burial and Cremation Act 1964  
Bylaws Act 1910  
Children's Health Camp Act 1972  
Citizenship Act 1977  
Civil Aviation Act 1990  
Civil Defence Act 1983  
Civil List Act 1979  
Commerce Act 1986  
Companies Act 1993  
Conservation Act 1987  
Consumer Guarantees and Fair Trading Act  
Copyright Act 1994  
Counties Insurance Empowering Act 1941  
Dangerous Goods Act 1974  
Disabled Persons Community Welfare Act 1975  
District Courts Act 1947  
Dog Control Act 1996  
Dog Control and Hydatids Act 1982  
Earthquake Commissions Act 1993  
Electricity Act 1992  
Employment Relations Act 2000  
Energy Companies Act 1992  
Engineers Registration Act 1924  
Fencing Act 1978  
Fencing and Swimming Pools Act 1987  
Financial Reporting Act 1993  
Fire Services Act 1975  
Food Act 1981  
Foreshore and Seabed Endowment Revesting Act 1991  
Forest and Rural Fires Act 1977  
Gas Act 1992  
Goods and Services Tax Act 1985

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Harbours Act 1950  
Health Act 1956  
Health and Safety in Employment Act 1992  
Higher Salaries Commission Act 1977  
Historic Places Act 1993  
Housing Act 1955  
Housing Corporation Act 1974  
Human Rights Act 1993  
Income Tax Act 1994  
Impounding Act 1955  
Insolvency Act 1967  
Interpretation Act 1999  
Land Act 1948  
Land Drainage Act 1908  
Land Settlement Promotion and Land Acquisition Act 1952  
Land Transfer Act 1952  
Land Transport Act 1993  
Libraries and Mechanics' Institute Act 1908  
Litter Act 1979  
Local Authorities (Members' Interests) Act 1968  
Local Electoral Act 2001  
Local Government (Rating) Act 2002  
Local Government Official Information and Meetings Act 1987  
Machinery Act 1950  
Meat Act 1981  
Minimum Wage Act 1983  
Municipal Insurance Act 1960  
National Provident Fund Restructuring Act 1990  
New Zealand Bill of Rights Act 1990  
New Zealand Geographic Board Act 1946  
New Zealand Library Association Act 1939  
New Zealand Walkways Act 1990  
Noxious Plants Act 1978  
Oaths and Declarations Act 1957  
Ombudsmen Act 1975  
Pawnbrokers Act 1908  
Petroleum Act 1937  
Plumbers and Gasfitters and Drainlayers Act 1976  
Privacy Act 1993  
Property Law Act 1952  
Prostitution Reform Act 2003  
Public Works Act 1981  
Queen Elizabeth the Second National Trust Act 1977

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Railway Safety and Corridor Management Act 1992  
Rating Valuations Act 1998  
Rates Rebate Act 1973  
Reserves Act 1977  
Residential Tenancies Act 1986  
Resources Management Act 1991  
Sale of Liquor Act 1989  
Secret Commissions Act 1910  
Securities Act 1978  
Securities Transfer Act 1991  
Smoke-free Environments Act 1990  
Soil Conservation and Rivers Control Act 1941  
Sovereign's Birthday Observance Act 1952  
Standards Act 1988  
Statutes Amendment Acts 1936-1951  
Statutory Land Charges Registration Act 1928  
Summary Offences Act 1981  
Survey Act 1986  
Swimming Pools Act 1987  
Te Ture Whenua Maori Act 1993  
Telecommunications Act 1987  
Transit New Zealand Act 1989  
Transport Act 1962  
Treaty of Waitangi Act 1975  
Trespass Act 1980  
Trustee Act 1956  
Unit Titles Act 1972  
Wild Animal Control Act 1977  
Wildlife Act 1953

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**APPENDIX B**

Legislation relevant to the conduct of members (Copies of these Acts can be found in the Council Library)

**LOCAL GOVERNMENT ACT 2002 (EXTRACTS)**

**“10 PURPOSE OF LOCAL GOVERNMENT**

*The purpose of local government is -*

- (a) *to enable democratic local decision-making and action by, and on behalf of, communities; and*
- (b) *to promote the social, economic, environmental, and cultural well-being of communities, in the present and for the future.*

**14 PRINCIPLES RELATING TO LOCAL AUTHORITIES**

- (1) *In performing its role, a local authority must act in accordance with the following principles:*
  - (a) *a local authority should -*
    - (i) *conduct its business in an open, transparent, and democratically accountable manner; and*
    - (ii) *give effect to its identified priorities and desired outcomes in an efficient and effective manner:*
  - (b) *a local authority should make itself aware of, and should have regard to, the views of all of its communities; and*
  - (c) *when making a decision, a local authority should take account of—*
    - (i) *the diversity of the community, and the community's interests, within its district or region; and*
    - (ii) *the interests of future as well as current communities; and*
    - (iii) *the likely impact of any decision on each aspect of well-being referred to in section 10:*
  - (d) *a local authority should provide opportunities for Maori to contribute to its decision-making processes:*
  - (e) *a local authority should collaborate and co-operate with other local authorities and bodies as it considers appropriate to promote or achieve its priorities and desired outcomes, and make efficient use of resources; and*
  - (f) *a local authority should undertake any commercial transactions in accordance with sound business practices; and*
  - (g) *a local authority should ensure prudent stewardship and the efficient and effective use of its resources in the interests of its district or region; and*
  - (h) *in taking a sustainable development approach, a local authority should take into account -*
    - (i) *the social, economic, and cultural well-being of people and communities; and*

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- (ii) *the need to maintain and enhance the quality of the environment; and*
  - (iii) *the reasonably foreseeable needs of future generations.*
- (2) *If any of these principles, or any aspects of well-being referred to in section 10, are in conflict in any particular case, the local authority should resolve the conflict in accordance with the principle in subsection (1)(a)(i).*

### 39 GOVERNANCE PRINCIPLES

*A local authority must act in accordance with the following principles in relation to its governance:*

- (a) *a local authority should ensure that the role of democratic governance of the community, and the expected conduct of members, is clear and understood by members and the community; and*
- (b) *a local authority should ensure that the governance structures and processes are effective, open, and transparent; and*
- (c) *a local authority should ensure that, so far as is practicable, responsibility and processes for decision-making in relation to regulatory responsibilities is separated from responsibility and processes for decision-making for non-regulatory responsibilities; and*
- (d) *a local authority should be a good employer; and*
- (e) *a local authority should ensure that the relationship between members and management of the local authority is effective and understood.*

### 40 LOCAL GOVERNANCE STATEMENTS

- (1) *A local authority must prepare and make publicly available, following the triennial general election of members, a local governance statement that includes information on -*
- (a) *the functions, responsibilities, and activities of the local authority; and*
  - (b) *any local legislation that confers powers on the local authority; and*
  - (c) *the electoral system and the opportunity to change it; and*
  - (d) *representation arrangements, including the option of establishing Maori wards or constituencies, and the opportunity to change them; and*
  - (e) *members' roles and conduct (with specific reference to the applicable statutory requirements and code of conduct); and*
  - (f) *governance structures and processes, membership, and delegations; and*
  - (g) *meeting processes (with specific reference to the applicable provisions of the Local Government Official Information and Meetings Act 1987 and standing orders); and*
  - (h) *consultation policies; and*
  - (i) *policies for liaising with, and memoranda or agreements with, Maori; and*
  - (j) *the management structure and the relationship between management and elected members; and*
  - (k) *equal employment opportunities policy; and*

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- (l) key approved planning and policy documents and the process for their development and review; and
  - (m) systems for public access to it and its elected members; and
  - (n) processes for requests for official information.
- (2) A local authority must comply with subsection (1) within 6 months after each triennial election of members of the local authority.
- (3) A local authority must update its governance statement as it considers appropriate.

**43 CERTAIN MEMBERS INDEMNIFIED**

A member of a local authority (or a committee, community board, or other subordinate decision-making body of that local authority) is indemnified by that local authority, whether or not that member was elected to that local authority or community board under the Local Electoral Act 2001 or appointed by the local authority, for---

- (a) costs and damages for any civil liability arising from any action brought by a third party if the member was acting in good faith and in pursuance (or intended pursuance) of the responsibilities or powers of the local authority (or committee, community board, or other subordinate decision-making body of that local authority); and
- (b) costs arising from any successfully defended criminal action relating to acts or omissions in his or her capacity as a member.
  - (2) Subsection (1) does not apply to a member's liability for a loss under section 46.
  - (3) To avoid doubt, a local authority may not indemnify a director of a council-controlled organisation for any liability arising from that director's acts or omissions in relation to that council-controlled organisation.

**SUBPART 2 - COMMUNITY BOARDS**

**49 Establishment of community Boards**

- (1) A community board must be established for each community constituted, in accordance with Schedule 6, by -
    - an Order in Council giving effect to a reorganisation scheme; or
    - a resolution made by the territorial authority within whose district the community will be situated as a result of a proposal by electors to establish a community; or
    - a resolution made by the territorial authority within whose district the community will be situated as a result of the territorial authority's review of representation arrangements.
  - (2) The community board must be described as the "[name of community] Community Board".
- Compare: 1974 No 66 s 101ZG

**50 Membership of community boards**

The membership of a community board consists of -

- members elected under the Local Electoral Act 2001;
- and
- members (if any) of, and appointed in accordance with the Local Electoral Act 2001 by, the territorial authority in whose district the relevant community is situated.

51 **Status of community boards**

*A community board -  
is an unincorporated body; and  
is not a local authority; and  
is not a committee of the relevant territorial authority.  
Compare: 1974 No 66 s 101Z*

52 **Role of community boards**

*The role of a community board is to -  
represent, and act as an advocate for, the interests of its community; and  
consider and report on all matters referred to it by the territorial authority, or any matter of  
interest or concern to the community board; and  
maintain an overview of services provided by the territorial authority within the community;  
and  
prepare an annual submission to the territorial authority for expenditure within the  
community; and  
communicate with community organisations and special interest groups within the  
community; and  
undertake any other responsibilities that are delegated to it by the territorial authority.  
Compare: 1974 No 66 s 101ZY*

53 **Powers of community boards**

*A community board has the powers that are -  
delegated to it by the relevant territorial authority in accordance with clause 32 of  
Schedule 7; or  
prescribed by the Order in Council constituting its community.  
The powers of a community board prescribed by Order in Council expire at the close of 6  
years after the order comes into force.  
Despite subsection (1), a community board may not -  
acquire, hold, or dispose of property; or  
appoint, suspend, or remove staff.  
Compare: 1974 No 66 ss 101ZZ, 101ZZA*

54 **Application of other provisions to community boards**

*Part 2 of Schedule 7 applies to community boards.  
Part 1 of Schedule 7 (excluding clauses 15 and 33 to 36) applies to community boards,  
with all necessary modifications, as if they were local authorities.*

**SCHEDULE 7**

**1 Disqualification of members**

- (1) *A person's office as a member of a local authority is vacated if the person, while  
holding office as a member of the local authority,---*
- (a) *Ceases to be an elector or becomes disqualified for registration as an  
elector under the Electoral Act 1993;*
  - or*
  - (b) *is convicted of an offence punishable by a term of imprisonment of 2  
years or more.*

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- (2) *If subclause (10(b) applies -*
  - (a) *The disqualification does not take effect -*
    - (i) *Until the expiration of the time for appealing against the conviction or decision; or*
    - (ii) *If there is an appeal against the conviction or decision, until the appeal is determined; and*
  - (b) *The person is deemed to have been granted leave of absence until the expiration of that time, and is not capable of acting as a member during that time, and is not capable of acting as a member during that time.*
- (3) *A person may not do an act as a member while disqualified under subclause (1) or while on leave of absence under subclause (2).*

**15 CODE OF CONDUCT**

*A local authority must adopt a code of conduct for members of the local authority as soon as practicable after the commencement of this Act.*

*The code of conduct must set out -*

*understandings and expectations adopted by the local authority about the manner in which members may conduct themselves while acting in their capacity as members, including -*

- (i) *behaviour toward one another, staff, and the public; and*
- (ii) *disclosure of information, including (but not limited to) the provision of any document, to elected members that -*
  - (A) *is received by, or is in the possession of, an elected member in his or her capacity as an elected member; and*
  - (B) *relates to the ability of the local authority to give effect to any provision of this Act; and*
- (b) *a general explanation of -*
  - (i) *the Local Government Official Information and Meetings Act 1987; and*
  - (ii) *any other enactment or rule of law applicable to members.*
- (3) *A local authority may amend or replace its code of conduct, but may not revoke it without replacement.*
- (4) *A member of a local authority must comply with the code of conduct of that local authority.*
- (5) *A local authority must, when adopting a code of conduct, consider whether it must require a member or newly elected member to declare whether or not the member or newly elected member is an undischarged bankrupt.*
- (6) *After the adoption of the first code of conduct, an amendment of the code of conduct or the adoption of a new code of conduct requires, in every case, a vote in support of the amendment of not less than 75% of the members present. To avoid doubt, a breach of the code of conduct does not constitute an offence under this Act. "*

### LOCAL AUTHORITY (MEMBERS' INTERESTS) ACT 1968

This Act regulates the circumstances where a member has a pecuniary interest in a matter before the Council.

#### Contractual interests

No person may be elected to a Council, or once elected remain a member, if the value of any contracts between the Council and that member exceed \$25,000 in any financial year. This prohibition applies to all forms of contract, including ongoing supply contracts and one-off contracts (including contracts for the sale of property by or to the Council). In the event of breach the member is automatically disqualified from office and may not seek a re-election at the by-election which follows. The member is however authorised to stand at the next triennial election. On a conviction for an offence the member may be fined up to \$200.

#### Other pecuniary interests

A member may not participate in the discussion or voting on a matter in which the member directly or indirectly has a pecuniary interest, except an interest in common with the public. If a member is convicted of a breach of this requirement then a fine of up to \$100 may be imposed and disqualification from office is automatic. A disqualified member may however stand for election at a by-election.

#### Additional points

- The interests covered by this Act extend to include interests held by the member's spouse, companies in which the member holds shares or is a director, other business structures or trusts in which the member has an interest. The word "spouse" is not defined in the statute, but the prudent course is to use this word in a modern i.e. domestic partner sense, a "spouse" ceases to be a spouse on separation
- the Audit Office has an ability to grant exemptions on application. A retrospective exemption may be granted in respect of contractual arrangements but not in respect of other pecuniary interests

The requirements of this Act are complex. It is strongly recommended that members familiarise themselves with the provisions of the Act. The publication by Audit New Zealand "Financial Conflicts of Interest of Members of Governing Bodies" published in September 2001 is a very useful starting point.

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**LOCAL GOVERNMENT OFFICIAL INFORMATION AND MEETINGS ACT 1987  
("LGOIMA")**

**Official Information**

LGOIMA contains rules relating to the disclosure of information held by a local authority to a member of the public on request. The underlying principle of the Act is that information should be made available unless there is good reason to withhold disclosure. There are a number of grounds for withholding disclosure, principally contained in s.7 of the Act.

The obligations of LGOIMA are binding on members and apply to the disclosure of information by a member in respect of any information held by that member (in his or her capacity as member) to a member of the public. It does not apply to the disclosure of information to the Council in accordance with the requirements of the Code of Conduct.

**Meetings**

LGOIMA also regulates and sets out the procedural requirements for meetings of local authorities (including territorial authorities), the publication of agenda, procedures for discussion with the public excluded and access by the public to the minutes of meetings.

**SECRET COMMISSIONS ACT 1910**

It is unlawful for member (or officer) to advise anyone to enter into a contract with a third person and receive a gift or reward from that third person as a result, or to present false receipts to Council.

If convicted of any offence under this Act a person can be imprisoned for up to 2 years, or fined up to \$1000, or both. (A conviction triggers the disqualification provisions in Clause 1 of Schedule 7 of the Act and result in automatic disqualification of the member from office.

**CRIMES ACT 1961**

It is unlawful for member (or officer) to:

- Accept or solicit for themselves (or anyone else) any gift or reward for acting or not acting in relation to the business of Council
- Use information gained in the course of the member's duties for monetary gain or advantage by the member, or anyone else

These offences are punishable by a term of imprisonment of seven years or more. Members convicted of these offences will also be automatically disqualified from office.

**SECURITIES ACT 1978**

The Securities Act places members in the same position as company directors whenever Council offers shares in a company to the public. Members may be personally liable if investment documents, such as a prospectus, contain untrue statements and may be liable for criminal prosecution if the requirements of the Act are not met. (These circumstances will arise only very rarely, if ever).

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**APPENDIX C**  
**Register of Interests**

**Member's Name:** Joan Smith  
**Member's Partner's Name:** Fred Blogg  
**Effective Date:** 30/08/03

This statement is given in accordance with the Code of Conduct adopted by Waitakere City Council. The information contained in this statement is to the best of my knowledge complete and accurate as at the Effective Date. I confirm that I have included in this statement details of any interests held by me or my partner through trusts or companies.

Signed.....

Date.....

Interest	Member	Partner
<b>Interests in land:</b> (address or legal description)	12 Jones Street, New Lynn 15 Marine Parade, Pauanui	12 Jones Street, New Lynn 24 Queen Street, Auckland
<b>Investments in Partnerships, joint ventures or other business:</b>	The Pottery Shoppe	None
<b>Shares in Companies:</b> (Other than shares in a listed company held through a managed fund)	Tranz Rail Tower AMP	Fred Blogg Architects Limited 24 Queen Street Limited
<b>Term deposits, bank debentures and mortgages:</b>	National Bank Fisher & Paykel Finance Ltd	RS&B Builders Limited
<b>Occupation:</b>	Elected member	Architect
<b>Employers name:</b>	None	None
<b>Employment Status:</b>	N/A	Self-employed
<b>Bankers:</b>	National Bank ASB	National Bank BNZ

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Interest	Member	Partner
<b>Appointments Held:</b>	Auckland Health Board New Lynn Pony Club Fred Blogg Architects Ltd	Institute of Architects Fred Blogg Architects Ltd Piha Golf Club
<b>Memberships:</b>	New Lynn Pony Club Forest and Bird RSPCA Labour Party	Piha Golf Club Greenpeace Auckland Club ACT Party
<b>Gifts:</b> (over NZ\$150 within the last 12 months excluding family gifts)	Kensington Swan (lunch at Cin Cin on 5 July 2003)	N/A
<b>Travel funded by a third party:</b>	Sydney 5-8 June 2003 (WCC)  Beijing 20-30 June 2003 (Chinese Government)  Bali 10-20 August 2003 (RS&B Builders Ltd)	N/A

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**APPENDIX C**  
**Register of Interests**

**Member's Name:**

**Member's Partner's Name:**

**Effective Date:**

This statement is given in accordance with the Code of Conduct adopted by Waitakere City Council. The information contained in this statement is to the best of my knowledge complete and accurate as at the Effective Date. I confirm that I have included in this statement details of any interests held by me or my partner through trusts or companies.

Signed.....

Date.....

Interest	Member	Partner
<b>Interests in land:</b> (address or legal description)		
<b>Investments in Partnerships, joint ventures or other business:</b>		
<b>Shares in Companies:</b> (Other than shares in a listed company held through a managed fund)		
<b>Term deposits, bank debentures and mortgages:</b>		

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Interest	Member	Partner
Occupation:		
Employers name:		
Employment Status:		
Bankers:		
Appointments Held:		
Memberships:		
<b>Gifts:</b> (over NZ\$150 within the last 12 months excluding family gifts)		
Travel funded by a third party:		

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